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GROUP 1600

Date: January 10, 2001

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To:	Examiner J. Zara USPTO, Group Art Unit 1635	(703) 305-3592	

From: Carol M. Gruppi (650) 849-4800 (650) 849-4902  
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Pages (including this cover page): 23

Subject:

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**Docket No. 21144-706****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Myles C. Cabot et al.  
Filing Date: November 12, 1999  
Serial No.: 09/439,293  
Title: Methods of Reversing Drug Resistance in Cancer Cells

Assignee: John Wayne Cancer Institute  
Examiner: J. Zara  
Group Art Unit: 1635

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Assistant Commissioner for Patents  
Washington, D.C. 20231

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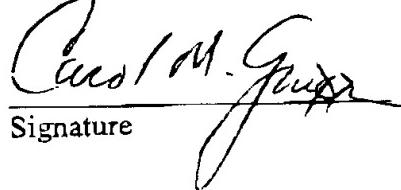
**GROUP 1600****CERTIFICATE OF FACSIMILE TRANSMISSION (37 C.F.R. § 1.8(a))**

Sir:

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (Facsimile No. (703) 305-3592) on the date indicated below:

1. Supplemental Information Disclosure Statement (2 pgs).
2. Form PTO-1449 (1 pg).
3. References cited on Form PTO (18 pgs).

Date: January 10, 2001

Name of Person Certifying: Carol M. Gruppi  
Printed Name:  
Signature**TELEFACSIMILE**

#13/SUPPL.  
I.D.S.

Docket No. 21144-706

## Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner J. Zara at Facsimile No. (703) 305-3592 at a.m./p.m.

Dated: January 10, 2001

Name of Person Certifying:

Printed Name: Nancy L. Hug

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Myles C. Cabot et al.

Assignee: John Wayne Cancer  
Institute

Filing Date: November 12, 1999

Examiner: J. Zara  
Group Art Unit: 1635

Serial No.: 09/439,293

Title: Methods of Reversing Drug Resistance in Cancer Cells

Assistant Commissioner for Patents

Washington, D.C. 20231

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GROUP 1B00

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

OFFICIAL

## I. Timing of the Information Disclosure Statement:

This Supplemental Information Disclosure Statement is filed:

- After the first Office Action and more than three months after the application's filing date; or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and the Commissioner is hereby authorized to charge Deposit Account No. 50-1189 for the fee (\$240) set forth in 37 C.F.R. § 1.17(p) and any additional required fees.

## II. Copies of the Cited Items:

- Copies of all of the items listed on the attached Form PTO-1449 are enclosed.

Docket No. 21144-706

**III. Concise Explanation of Relevance:**

- A concise explanation of relevance of the items listed on Form PTO-1449 is not given.

**IV. Conclusion:**

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

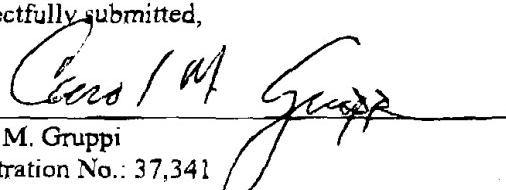
It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.]

The Commissioner is hereby authorized to charge Deposit Account No. 50-1189  
Docket No.:21144-706 for any additional fees required in connection with the filing of this  
Information Disclosure Statement.

DATE: January 10, 2001

Respectfully submitted,

By:

  
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